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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

FRANCE TELECOM S.A.,

Plaintiff,

vs.

MARVELL SEMICONDUCTOR, INC.,

Defendant.

Case No. 12-CV-04967-WHO

**DECLARATION OF DR. PAUL S. MIN
REGARDING CLAIM
CONSTRUCTION**

Date:
Time:
Place: Courtroom 2, 17th Floor,
San Francisco Courthouse
Judge: Hon. William H. Orrick

I, PAUL S. MIN, PH.D., declare as follows:

I. INTRODUCTION

1. I have been retained by counsel for Defendant Marvell Semiconductor, Inc. ("Marvell") as an expert to analyze and explain what certain terms in Claims 1 and 10 of U.S. Patent No. 5,446,747 ("the '747 patent") would mean to a person of ordinary skill in the art at the time of the alleged invention.

2. I understand that France Telecom contends that the asserted claims of the '747 patent claim priority to French Application No. 91 05280 and are entitled to a priority date of at least as early as April 23, 1991.

3. I am being compensated at my usual hourly rate of \$450. I am being separately reimbursed for any out-of-pocket expenses. My compensation does not depend in any way on the outcome of this case, my particular testimony, or the opinions that I express.

4. In rendering my opinions, I considered the items listed in Exhibit A, the items discussed or listed herein, as well as my own experiences in the field. I reserve the right to amend or supplement my opinions in light of further documents, depositions, or discovery disclosures.

5. I have also reviewed France Telecom's Patent L.R. Preliminary Claim Constructions and Extrinsic Evidence, dated May 3, 2013, and the evidence cited therein, as well as France Telecom's Opening Claim Construction Brief, filed June 14, 2013, and the exhibits thereto. I have also reviewed France Telecom's Opening Claim Construction Brief, dated June 14, 2013.

II. QUALIFICATIONS & EXPERIENCE

6. My qualifications can be found in my Curriculum Vitae, which includes a complete list of my publications, and is attached as Exhibit B.

7. I received a B.S. degree in Electrical Engineering in 1982, an M.S. degree in Electrical Engineering in 1984, and a Ph.D. degree in Electrical Engineering in 1987 from the University of Michigan in Ann Arbor. While I was at the University of Michigan in Ann Arbor, I received several academic honors, including my B.S. degree with honors, a best graduate

1 student award and a best teaching assistant award during my M.S. study, and a best paper award
2 from a major international conference for reporting results from my Ph.D. thesis.

3 8. After receiving my Ph.D., I worked at Bellcore in New Jersey from August 1987
4 until August 1990.

5 9. In September 1990, I joined the faculty at Washington University in St. Louis. I
6 was an Assistant Professor of Electrical Engineering until June 1996. In July 1996, I was
7 promoted to an Associate Professor of Electrical Engineering with tenure. Since July 2002, I
8 have been an Associate Professor of Electrical and Systems Engineering at Washington
9 University.

10 10. At Washington University, I have conducted research in communication,
11 computing, and related electronic hardware and software. My research group has pioneered a
12 new paradigm for designing electronic circuits that can alleviate the speed and performance
13 mismatch against optical technology. I received several grants from the U.S. Federal Agencies,
14 including the National Science Foundation and the Defense Advanced Research Project
15 Agency, and numerous contracts from the companies and organizations around the world.

16 11. As a faculty member at Washington University, I have taught a number of
17 courses in electronics, communication, and computing at both the undergraduate and graduate
18 levels. I have supervised more than 50 students, 12 of whom received a doctoral degree under
19 my guidance.

20 12. In addition to my responsibilities as a university faculty member, I have founded
21 two companies. In May 1997, I founded MinMax Technologies, Inc., a fabless semiconductor
22 company that developed switch fabric integrated circuit chips for the Internet. In March 1999, I
23 founded Erlang Technology, Inc., a fabless semiconductor company that focused on the design
24 and development of integrated circuit chips and software for the Internet. One of Erlang's
25 products received a best product of the year award in 2004 from a major trade journal for the
26 electronics industry.

27 13. Since founding MinMax Technologies, Inc. and Erlang Technology, Inc., I have
28 served as the president for these companies for a number of years. As the president of these

1 companies, I have managed the development of business plans, raised start up funding from
2 private investors and corporations and follow-on investment from institutional investors,
3 managed finances, recruited key technology and business employees, developed intellectual
4 property, and handled the marketing and sales of products. I have managed MinMax and
5 Erlang in various stages, starting from just a few employees to over 70, and raised over US \$30
6 million in investment.

7 14. Outside my own start-up companies, I have also served in various technology
8 and business advisor roles for other companies and organizations around the world. I was the
9 main technical author for one of two winning proposals to the Korean government for CDMA
10 wireless service licenses (1996). I have also been involved in a semiconductor company that
11 specializes in semiconductor memories such as flash EEPROMs as a board member and as a
12 technical advisor (2007 – 2011).

13 15. I am a named inventor on nine U.S. patents. I have extensively published
14 technical papers in international conferences and journals, technical memoranda and reports,
15 and given a number of seminars and invited talks. I have organized several international
16 conferences and served as an international journal editor.

17 16. I have received several professional awards, such as the Best Paper Award at
18 Mobility 2011 Conference in Barcelona, Spain (2011), the faculty advisor to the Most Active
19 Student Branch in the Region 5 of the Institute of Electrical and Electronics Engineers (the
20 “IEEE”) (2009), the Wall Street Journal Businessmen of Year for Missouri (2003), the
21 Outstanding Achievement Award by Bellcore (1990), the Best Paper Award at the 18th ISATA
22 Conference in Florence Italy (1988), Rockwell Fellowship by Rockwell International (1985 and
23 1986), Outstanding Graduate Student Award by the University of Michigan (1985), and
24 Outstanding Teaching Assistant Award by the University of Michigan (1984 and 1986).

25 17. I am a member of and have been actively involved in a number of professional
26 organizations. For example, I am a Senior Member of the IEEE, the Vice Chair and Chair Elect
27 of the Saint Louis Section of the IEEE, an Ambassador of the McDonnell International Scholars
28 Academy, and a member of the Eta Kappa Nu Honor Society for electrical engineers.

1 18. I am knowledgeable about and familiar with wireless and telecommunications
2 systems industry standards, and as shown in Exhibit B, some of my papers describe the
3 application of these standards in optimizing the design and testing of these systems. I am also
4 knowledgeable and familiar with microprocessor architecture and associated software and
5 firmware design for wireless and telecommunications terminals and base stations.

6 **III. LEGAL STANDARDS**

7 19. I am informed that claim construction begins with the presumption that claim
8 terms are given their ordinary and customary meaning, and that the ordinary and customary
9 meaning of a claim term is the meaning that the term would have to a person of ordinary skill in
10 the art at the time of the invention. I am informed that to determine the ordinary and customary
11 meaning of claim terms, the Court may consult a variety of sources, including the claims
12 themselves, the specification, the prosecution history, relevant dictionaries and treatises, and
13 expert testimony.

14 20. I am informed that the claim language must be considered in the context of the
15 entire patent, including the specification, other claims, and the prosecution history. I also
16 understand that an inventor may clearly and explicitly define a claim term in the specification
17 or the prosecution history to mean something other than its ordinary meaning. I understand that
18 an inventor may also clearly and intentionally disclaim certain claim scope within the
19 specification or prosecution history. I am informed that arguments made by the inventor during
20 prosecution may narrow the scope of the claims or the meaning of a claim term. I am also
21 informed that amendments by the inventor during the course of prosecution to narrow the scope
22 of the claim should be considered as disclaiming the territory between the original claim and
23 the amended claim. I further understand that the usage of a claim term within the specification
24 and prosecution history may more generally provide guidance as to the intended meaning of the
25 term. I am informed that it is not otherwise proper to read limitations from the specification
26 into the claims or to read limitations out of the claims.

27 21. I am also informed that claims must be sufficiently definite so that a person of
28 ordinary skill in the art would understand the bounds of the claims when read in light of the

1 specification. I further understand that even if a claim's definition can be reduced to words, the
2 claim is still indefinite if a person of ordinary skill in the art cannot translate the definition into
3 a meaningfully precise claim scope.

4 **IV. BACKGROUND OF THE TECHNOLOGY**

5 **A. Level of Ordinary Skill in the Art**

6 22. In my opinion, for the '747 patent, a person of ordinary skill in the art at the time
7 of the alleged invention would have at least (1) a Master's degree in electrical engineering or a
8 related field (2) with at least three years experience in communications. I base my opinion on
9 my review of the '747 patent, my knowledge of the prior art, and my own experience in the
10 field.

11 **B. Error Correction Coding**

12 23. In the digital world, all data is represented by a series of bits -- 1s and 0s.
13 Regardless of whether the data represents text, photos, videos, audio, etc., it is simply a
14 sequence of 1s and 0s when in digital form.

15 24. Data is a "sequence" of bits in that the order matters. For example, because
16 computers can only understand 0s and 1s, English alphabet-based characters can be numerically
17 represented by what is known as ASCII codes. "ASCII" stands for American Standard Code
18 for Information Interchange. For example, the letter "A" is represented by the sequence
19 01000001. Those same bits arranged in a different sequence represent a completely different
20 character. Thus, the sequence 01010000, which has the same bits but in a different order,
21 represents the letter "P." The order of the bits matters.

22 25. "Coding" of digital data refers to the process whereby a sequence of data bits is
23 converted into a different sequence of data bits that represent the first sequence, often using
24 more bits. The original data bits that are converted are referred to as the "input"; the result of
25 the coding step is called the "output" data. For example, a very basic code could code each "0"
26 as "00" and each "1" as "11." Then, the sequence 01101 that is input to this coding step would
27 output the sequence 0011110011 as the coded output.

28 26. The reason coding is often used to represent digital data using more bits is to

1 build in redundancy when the data is transmitted. When data is sent from one place to another,
2 there is a chance that some of the data will be lost or corrupted during transmission. By coding
3 the data, redundancy is built in so that it is possible to reconstruct the input data on the
4 receiving side, without having to re-transmit the data. This process is referred to as "error
5 correction coding."

6 27. There are different types of coding that can be used in error correction. For
7 example, "convolutional" coding is a specific type of error correction where the coding process
8 is performed using at least some of the preceding input bits. Convolutional coding incorporates
9 a concept of memory into the coding process in that the previous bits of data are also used in
10 the coding of the current data. This can be leveraged in the decoding process to more easily
11 identify errors and to recover the data that was lost to decode the original data properly without
12 re-sending the data. Convolutional coding has been used for almost 60 years in several fields,
13 including telecommunications, satellite communications, and in modem technology.

14 28. There is also an analogous decoding process that is used on the receiving side to
15 reconstruct the original input data. For data that was convolutionally coded, this decoding step
16 is usually an iterative process, where a sequence of calculations are repeated until it is
17 confirmed that the data was correctly decoded. One of the most well-known methods of
18 decoding convolutionally coded data is Viterbi decoding, invented by Andrew J. Viterbi in
19 1967.¹

20 29. Coding methods can also be "systematic" where the output of the coding process
21 *includes* the input data bits. Systematic coding offers the advantage that the input data is sent
22 as part of the output. Thus, if no errors occurred during transmission, the receiver can just pull
23 the input data as is from the received data. Systematic coding has also been used for decades.
24 One well-known example of a systematic code is Reed-Solomon coding, developed by Irving

25
26
27 ¹ Andrew J. Viterbi, *Error Bounds for Convolutional Codes and an Asymptotically*
28 *Optimum Decoding Algorithm*, 13 IEEE TRANSACTIONS ON INFO. THEORY 260 (1967).

1 Reed and Gustave Solomon in 1960.² Likewise, coding methods may also be “non-
 2 systematic,” where the output only includes coded data and not the original, uncoded data.
 3 Non-systematic coding was also known and used well before 1991.

4 30. The '747 patent claims a specific arrangement of multiple systematic
 5 convolutional coding steps that are arranged in parallel:

6 1. A method for error-correction coding of source digital data elements,
 7 comprising the steps of:

8 implementing at least two independent and parallel steps of systematic
 9 convolutional coding, each of said coding steps taking account of all of said
 10 source data elements and providing parallel outputs of distinct series of coded
 11 data elements;

12 and temporally interleaving said source data elements to modify the order in
 13 which said source data elements are taken into account for at least one of said
 14 coding steps.

15 The recited individual steps -- systematic coding, convolutional coding; multiple coding
 16 arranged in parallel -- were well known in the art before the alleged invention of the '747
 17 patent. The patent claims one particular arrangement of these well known components.

18 **C. Reservation of Rights**

19 31. I reserve the right to further discuss the background of the technology as
 20 necessary to support my opinions regarding the understanding of a person of ordinary skill in
 21 the art at the time of the alleged invention of the claim terms discussed below, and reserve the
 22 right to rely on my own knowledge, relevant publications, or other information disclosed by the
 23 parties. I may use graphics to explain technology and my opinions.

24 **V. OPINIONS ON UNDERSTANDING OF ONE OF ORDINARY SKILL**

25 32. I have reviewed Marvell's Patent L.R. 4-2 Preliminary Claim Constructions and
 26 Extrinsic Evidence, served May 3, 2013, and the Joint Claim Construction and Prehearing
 27 Statement Pursuant to Patent L.R. 4-3, filed May 17, 2013. I have reviewed the intrinsic and

28 ² Irving S. Reed and Gustave Solomon, *Polynomial Codes Over Certain Finite Fields*, 8 J.
 SOCIETY FOR INDUSTRIAL & APPLIED MATH. 300 (1960).

1 extrinsic evidence cited therein and rely on these materials in forming my opinions.

2 33. I understand that France Telecom is not relying on the testimony of its own
3 expert, Prof. Michael Mitzenmacher, but that he has provided deposition testimony on behalf of
4 France Telecom regarding the construction of the disputed terms. I have reviewed Prof.
5 Mitzenmacher's deposition transcript and considered it in forming my opinions.

6 **A. "convolutional coding"**

7 34. As I discussed above, "convolutional coding" refers to a type of coding where
8 the calculation of the coded data is performed using at least some of the preceding input bits.
9 For example, a bit in the input sequence may be coded using the previous k bits in the input
10 sequence. Thus, the current *and* the prior input data are used for this calculation.

11 35. The '747 patent describes "convolutional codes," which are the result of a
12 convolutional coding process:

13 Convolutional codes are codes that associate at least one coded data element
14 with each source data element, this coded data element being obtained by the
15 summation modulo 2 of this source data element with at least one of the
16 preceding source data elements. Thus, each coded symbol is a linear
combination of the source data element to be coded and of previous data source
elements taken into account.

17 ('747 patent, col. 1:46-53.) As the patent explains, in order to create convolutional codes, the
18 prior data bits are used by adding the current bits to the prior bits (modulo 2).

19 36. Patents and scholarly articles from the period of the alleged invention describe
20 convolutional coding as it would be understood by a person of ordinary skill in the art as a
21 process that uses the previous input bits. For example, U.S. Patent No. 5,052,000 ("Wang")
22 notes that "the value of each bit in convolutional coding is a function of the information bits in
23 the associated block and *a number of priorly transmitted blocks*." (Ex. C, Wang at 1:48-54,
24 MSIFT00039528 (emphasis added).) U.S. Patent No. 5,157,671 ("Karplus") describes
25 convolutional coding as a set of equations where "each parity bit $P(t)$ is computed from
26 previous data bits $v(t)$." (Ex. D, Karplus at 5:8-12, FT000262.) The Berlekamp reference,
27 Elwyn R. Berlekamp et al., *The Application of Error Controls to Communications*, 25 IEEE
28 COMM. MAG. 44, 46 (1987), notes that for a " $k=7$ convolutional code," that "the value of the

1 output bits depends on seven user data bits." (Ex. E, Berlekamp at MSIFT00039242.)

2 37. The result of a convolutional coding process depends on the sequence of input
3 bits that are coded by the coding process. Thus, one of ordinary skill in the art would
4 understand "convolutional coding" to mean "calculating an output data element representing
5 current input data using current and prior input data."

6 38. I understand that France Telecom believes no construction is necessary, but
7 proposed an alternate construction of "codes that associate at least one coded data element with
8 each source data element, the coded data element being a linear combination of the source data
9 element an some previous source data elements." In my opinion, France Telecom's alternate
10 construction is inconsistent with the understanding of a person of ordinary skill in the art. A
11 person of ordinary skill in the art would not understand the *coding step* to mean the *codes* that
12 are calculated by the coding step itself.

13 39. As I discuss above, convolutional coding depends on the sequence of input bits
14 that are *coded by the coding process*. France Telecom's proposed construction does not
15 describe a coding process that operates on the input data that is actually provided to the coding
16 step. Instead, France Telecom's proposed construction associates a "source [data] element"
17 with a "coded data element." These words are terms that are found in the patent claims and
18 have special meanings in the context of the '747 patent. For example, a "source data element"
19 refers to the data elements that are to be coded by the entire claimed coding method – not each
20 independent coding step that is part of the claimed coding method.

21 40. France Telecom's proposed construction states it is a linear combination of the
22 source data element "and some previous source data elements." However, Claim 1 requires that
23 prior to at least one of the claimed convolutional coding steps, source data elements are
24 temporally interleaved to "modify the order in which said source data elements are taken into
25 account." Because the order of the source data elements is modified for this coding step, the
26 order of the data elements from the perspective of the claimed coding method is irrelevant.
27 Data that was previously sent to be coded by the claimed coding method may not have been
28 input to one or more of the independent coding steps that are part of the claimed method

1 because of the interleaving step. This shows the importance of defining the coding step in
2 relation to each constituent coding step, rather than the claimed coding method as a whole.

3 41. Because France Telecom's proposed construction does not define "convolutional
4 coding" in terms of the sequence of data that is actually input to the coding step, it is
5 inconsistent with the understanding of a person of ordinary skill in the art at the time of the
6 invention.

7 **B. "systematic convolutional coding"**

8 42. "Systematic convolutional coding" simply refers to a step of convolutional
9 coding that is systematic. As I discussed above, a person of ordinary skill in the art would
10 understand that "systematic" coding is a process where the input data bits are part of the output
11 of the coding step. The output also includes coded data. A person of ordinary skill in the art
12 reading the '747 patent would not understand that the term is being given a special meaning.
13 The patent merely discusses that the disclosed coding modules as "of any known systematic
14 type." ('747 patent, col. 7:60-61.)

15 43. There are numerous scholarly articles that reflect the understanding of a person
16 of ordinary skill in the art at the time of the alleged invention of what it means for a coding to
17 be "systematic." The Ungerboeck article, Gottfried Ungerboeck, *Trellis-Coded Modulation*
18 *with Redundant Signal Sets*, 25 IEEE COMM. MAG. 5 (1987), notes that "[w]ith a systematic
19 encoder, *the input bits appear unchanged at the output.*" (Ex. F, Ungerboeck at
20 MSIFT00039463 (emphasis added).) Tom Fuja *et al.*, *Cross Parity Check Convolutional*
21 *Codes*, 35 IEEE TRANSACTIONS ON INFO. THEORY 1264, 1268 (1989) notes that a systematic
22 generator (i.e., coder) causes the input message sequences "to be reproduced exactly in the code
23 sequences." (Ex. G, Fuja at MSIFT00040383.)

24 44. A person of ordinary skill in the art would understand that systematic
25 convolutional coding simply refers to a convolutional coding where the bits input to the coding
26 step are included in the output of the coding step along with the coded data. As G. David
27 Forney, *Convolutional Codes I: Algebraic Structure*, 16 IEEE TRANSACTIONS ON INFO. THEORY
28 720, 720-21 (1970), explains:

Fig. 1(a) shows a simple binary systematic rate-1/2 convolutional encoder of constraint length 2. . . . The outputs are two binary sequences y_1 and y_2 (hence the rate is 1/2). The first output sequence y_1 is simply equal to the input x (hence the code is systematic).

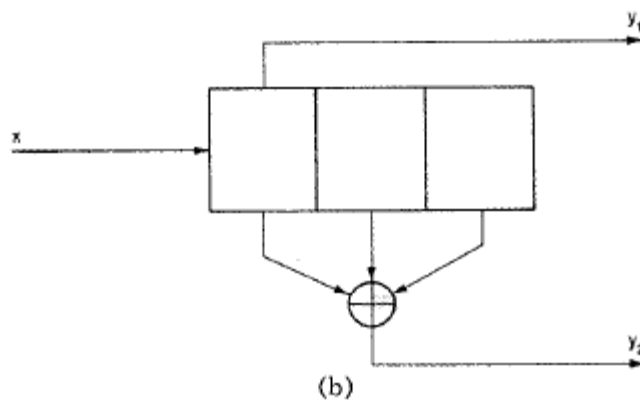
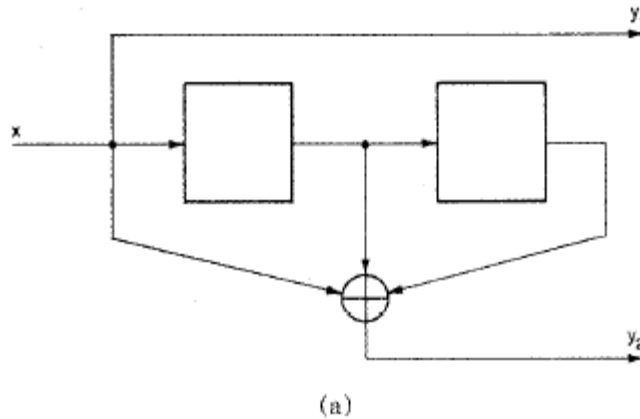


Fig. 1. (a) A rate-1/2 systematic convolutional encoder.
(b) Alternate representation.

(Ex. H, Forney at MSIFT00040392-93.)

45. The common theme in all of these references is that the coding step includes the input data as part of the coded output. (Ex. F, Ungerboeck at MSIFT00039463; Ex. G, Fuja at MSIFT00040383; Ex. I, Massey at MSIFT00040411-12; Ex. J, Yuen at MSIFT00040238; Ex. H, Forney at MSIFT00040392-93; Ex. K, Lin at MSIFT00040319-20; Ex. L, Sweeney at MSIFT00040356; Ex. M, Viterbi at MSIFT00040436; Ex. N, Sklar at MSIFT00040421-22; Ex. O, Sklar 2000 at MSIFT00040375; Ex. P, Poli at MSIFT00040347.) Thus, a person of ordinary skill in the art would understand "systematic convolutional coding" to mean "convolutional coding where the output includes both the coded data and the current input data."

46. I understand that France Telecom believes no construction is necessary, but

1 proposed an alternate construction of "convolutional coding where the source data is
2 transmitted jointly with coded data elements." In my opinion, France Telecom's alternate
3 construction is inconsistent with the understanding of a person of ordinary skill in the art.

4 47. France Telecom's contention that a coding step is "systematic" as long as the
5 source data is merely "transmitted jointly" with the coded data elements is inconsistent with the
6 understanding of a person of ordinary skill in the art. As I discuss above, systematic coding is a
7 particular kind of coding where the input data is provided as part of the output. Under France
8 Telecom's definition, a non-systematic coding step would become systematic if some external
9 source wholly unrelated to the coding step provides the input data to be transmitted with the
10 coded output of the non-systematic coding step. In essence, the same coding step could be
11 systematic or non-systematic, depending on the context in which the coding step is used. That
12 is inconsistent with the understanding of a person of ordinary skill in the art, who would
13 understand that the coding step itself includes the input data as part of the output.

14 48. France Telecom's proposed construction also suffers the same flaw because it
15 would consider a coding step to be "systematic" even though the data input to that coding step
16 is not included in the output of that coding step. France Telecom's construction simply requires
17 the source data elements – the sequence of bits to be coded by the claimed coding method – to
18 be transmitted with the output. It does not require that the sequence of bits that are actually
19 input to be coded by each independent coding step be included in the output of each coding
20 step.

21 **C. "at least two independent and parallel steps of systematic convolutional**
22 **coding"**

23 49. A person of ordinary skill in the art would understand that multiple coding steps
24 may be used in order to improve the performance and/or effectiveness of the coding process.
25 When multiple coding steps are used, they can be variously arranged, but the following two
26 ways are very common: in series or in parallel. The concept of series and parallel coding were
27 both known to persons of ordinary skill in the art.

28 50. When coding steps are conducted in series, they are performed one after another.

1 In other words, the output from a first coding step is used as the input to the second coding step.
2 The input data undergoes two coding steps when coding is done in series. A person of ordinary
3 skill in the art would understand that such an arrangement can increase the effectiveness of
4 error correction, but at the cost of latency. Latency is increased because it takes longer to
5 sequentially code the data using two coding steps instead of using a single coding step.

6 51. On the other hand, coding steps can be performed in parallel, where they are
7 performed concurrently or simultaneously. In a parallel arrangement, the output of one coding
8 step is not used as the input to another coding step. A person of ordinary skill in the art would
9 understand that, because the coding steps do not depend on one another, they can be performed
10 simultaneously.

11 52. The '747 patent discloses a method using multiple systematic convolutional
12 coding steps arranged in parallel. The patent alleges that "[t]he present invention relies on two
13 novel concepts, namely *a coding method simultaneously carrying out several coding*
14 *operations*, in parallel, and a method of iterative coding." ('747 patent, col. 7:31-34 (emphasis
15 added).) This parallel arrangement allows the multiple coding steps to be carried out
16 simultaneously or concurrently, which improves performance. Because the parallel coding
17 steps are independent, one coding step does not have to wait for another coding step to finish
18 before performing its calculation. This is unlike coding steps arranged in series where the
19 second coding step has to wait until the first coding step is complete. In other words, they are
20 separate and distinct. This approach reduces the latency.

21 53. The '747 patent file history confirms that the multiple coding steps are carried
22 out simultaneously. In an amendment, the patentee distinguished the claimed invention from
23 the Betts prior art reference, which disclosed multiple decoders that "are used only one at a
24 time." (Ex. Q, '747 patent file history at FT000244 (Jul. 22, 1994 Amendment).)

25 54. Furthermore, a person of ordinary skill in the art would understand that the
26 context of the claim language describes the independent and parallel steps as referring to
27 "systematic convolutional coding." In other words each of the coding steps must be systematic
28 and convolutional.

1 55. Thus, it is my opinion that a person of ordinary skill in the art would understand
2 "at least two independent and parallel steps of systematic convolutional coding" to mean "at
3 least two separate and distinct steps of systematic convolutional coding, not in series,
4 simultaneously carried out."

5 56. I understand that France Telecom believes no construction is necessary, but
6 proposed an alternate construction of "at least two steps of systematic convolutional coding that
7 are not serially concatenated, including without limitation as shown in Figures 1 and 2." In my
8 opinion, not only is France Telecom's alternate construction inconsistent with the understanding
9 of a person of ordinary skill in the art, adopting this construction would render Claim 1
10 insolvably ambiguous and would not provide a meaningfully precise claim scope.

11 57. A person of ordinary skill would understand that *each* of the at least two
12 independent steps must be systematic convolutional coding steps. Under France Telecom's
13 construction, however, it is impossible to have a situation where only one coding step is
14 systematic and the other coding step is non-systematic. Because the "source data" is
15 "transmitted jointly" for one of the coding step, under France Telecom's construction both
16 coding steps would be considered "systematic." In other words, the systematic nature of the
17 recited coding steps are dependent on one another.

18 58. France Telecom's attempt to define the term in context of figures that contradict
19 the term that they seek to define would provide no guidance to a person of ordinary skill in the
20 art as to the scope of the alleged invention. In my opinion, a person of ordinary skill in the art
21 would not be able to determine a meaningful claim scope under France Telecom's construction.
22 As depicted, Figures 1 and 2 show two coding steps arranged in parallel where only one of the
23 two coding steps is systematic. It is possible that there are additional inputs and outputs that are
24 not shown in these figures, but if the figures are intended to be complete, they simply do not
25 illustrate two steps of systematic convolutional coding, as understood by a person of ordinary
26 skill in the art. As I discussed above, a systematic convolutional coding step *must* output both
27 the coded data *and* the input data. Thus, when two independent and parallel systematic
28 convolutional coding steps are performed, *each* independent coding step must output its

1 respective coded data and input data. This is apparent from the plain and ordinary meaning of
2 the claim term itself.

3 59. In addition, I understand that France Telecom argues that because the patent
4 notes a delay step may be performed after the interleaving step, this means the coding steps do
5 not need to be simultaneously carried out. In its brief, France Telecom cites the limitation of
6 dependent Claim 4, which recites “A method according to claim 1, wherein each of said
7 temporal interleaving steps is followed by a delay step” (FT’s Br. at 16 (quoting ‘747
8 patent, col. 14:66-68).) But one of ordinary skill in the art would understand that introducing a
9 delay step would not change the requirement that parallel operations must be carried out
10 simultaneously. A delay step would simply make the operation of the two steps asynchronous.
11 In other words, if a delay step is implemented, the first coding step may start coding its input
12 data, and then some clock cycles later, the second coding step may start coding its input data.
13 In that scenario, a person of ordinary skill in the art would understand that both coding steps are
14 still carried out simultaneously.

15 60. For these reasons, it is my opinion that France Telecom's alternate construction
16 is inconsistent with the understanding of a person of ordinary skill in the art at the time of the
17 invention and would not provide a meaningfully precise claim scope.

18 **D. “data element”**

19 61. The ‘747 patent makes clear that the field of the invention is “the coding of
20 digital data.” (‘747 patent, col. 1:10-11.) As discussed above, all digital data consists of
21 sequences of bits -- 1s and 0s. Regardless of what the bits represent (e.g., text, photos, video,
22 audio, etc.), they are represented by a sequence of bits. Thus, one of ordinary skill in the art
23 would understand that the data elements discussed and covered by the patent refer to bits of
24 data.

25 62. These bits of data are not necessarily processed one bit at a time (although they
26 may be processed bit-by-bit). Instead, they can be operated on in groups, often referred to as
27 “blocks.”

28 63. In the ‘747 patent, the disclosed coding and decoding methods operate on “data

elements" that are treated as a single block of data. The patent describes the coding steps operating on data elements: "Each source data element d to be coded is directed, firstly, towards a first coding module 11 and, secondly, towards an [*sic*] temporal interleaving module 12 which itself feed [*sic*] a second coding module 13." ('747 patent, col. 7:50-59.) Claim 1 describes a process of temporal interleaving to "modify the order in which said source data elements are taken into account." ('747 patent, col. 14:53-56.) Each claimed step operates on "data elements" that are bits that are considered as a block.

64. The patent further makes clear that these data elements are made up of bits or sequences of bits.

Convolutional codes are codes that associate at least one coded data element with each source data element, this coded data element being obtained by *the summation modulo 2 of this source data element* with at least one of the preceding source data elements. Thus, each coded symbol is a linear combination of the source data element to be coded and of previous data source elements taken into account. The Viterbi algorithm, taking account of a sequence of received coded symbols, gives an estimation of each data element coded at transmission, in determining the source sequence that most probably corresponds to the received sequence.

('747 patent, col. 1:46-57.) "Summation modulo 2" is a mathematical calculation that is a simple variation of ordinary addition where the result is either 0 or 1. In modulo 2 addition, the values are added together and then divided by 2, with the remainder being the result of the addition. For example, summation modulo 2 of 1 plus 1 results in 0 ($1 + 1 = 2$; remainder of 2 divided by 2 being 0). In other words, 1 is the maximum value in this system (as in a counter where once the number reaches 2, it immediately resets to 0). Modulo 2 is a mathematic operation that is used for bits, where the only possible values are 0 and 1.

65. A person of ordinary skill in the art reading the disclosure of the patent would understand that the only data elements disclosed are digital data expressed as bits or series of bits of data capable of being coded; no other type of data is disclosed in the patent. Thus, the patent itself make clear that a data element is (1) made up of 0s and 1s and (2) can be treated as a block. Therefore one of ordinary skill in the art would understand "data element" to mean "bits (1 or 0) or series of bits (i.e., a sequence of 1s and 0s) to be considered as a block."

1 66. I understand that France Telecom believes no construction is necessary, but
2 proposed an alternate construction of "a single unit of data." While a person of ordinary skill in
3 the art may not view France Telecom's alternate construction as incorrect, it is vague and
4 imprecise.

5 67. First, in the context of digital data, all data is represented by bits. I understand
6 that France Telecom objects to Marvell's proposed construction because it alleges that data
7 coded by the '747 patent method can be symbols or real values. However, the '747 patent is
8 directed to "the coding of digital data" ('747 patent, col. 1:10-12), which a person of ordinary
9 skill in the art would understand refers to bits of data. While the bits of bits that are coded by
10 the claimed method may represent symbols or real values, they are expressed as bits of data in
11 order to be coded. For example, the '747 patent notes that real variables are "samples coded on
12 n bits (typically n=4)." ('747 patent, col. 11:30-32.) That is, real variables are represented by a
13 series of bits. This is consistent with the understanding of a person of ordinary skill in the art.

14 68. I understand that France Telecom may contend that a circuit may transmit a real
15 number as a voltage value rather than converting the number into bits, for example by sending a
16 voltage value between 0 and 10 to represent those values. I disagree. The '747 patent is
17 directed to error correction coding used in data transmission. An arrangement where voltage
18 values are transmitted to represent real values cannot be corrected by the receiver and thus
19 would not be understood by one of ordinary skill in the art to be the subject of the '747 patent.

20 69. Error correction coding is a mathematical operation. As I testified during my
21 deposition, in order to implement error correction coding, one should be aware of how chips or
22 circuits are built. A person of ordinary skill in the art, having experience designing and
23 building chips and circuitry used for error correction, would understand that real values or
24 symbols will have to be converted to bits in order to be error-correction coded.

25 70. Second, in digital data, a "block" is a well-understood concept to a person of
26 ordinary skill in the art that refers to a collection of bits of data that are transmitted or otherwise
27 processed together. In contrast, the word "unit" is vague and can refer to a single bit, a single
28 symbol (as represented by a series of bits), or a collection of symbols. In my opinion, the word

1 "unit" does not provide any guidance to a person of ordinary skill in the art.

2 **E. Reservation of Rights**

3 71. The opinions provided in this declaration are offered only to explain how a
4 person of ordinary skill in the art at the time of the alleged invention would understand the
5 meanings of the claim terms discussed above. I reserve the right to discuss any claim
6 limitations at issue or that become at issue as the case develops, as well as the plain and
7 ordinary meaning of any claim term, based on the intrinsic evidence, extrinsic evidence, and on
8 my experience and knowledge in the subject matter of the claimed invention.

1
2 I declare under penalty of perjury of the laws of the United States that the foregoing is true and
3 correct.

4
5 DATED: June 28, 2013



6 Executed in St. Louis, MO

Paul S. Min, Ph.D.